

Applicants :
Serial No. :

J. Ponasik, et al. 09/776,984

Serial No.

February 5, 2001

Filing Date

CATALYST COMPOSITIONS

For :

FOR THE POLYMERIZATION OF

OLEFINS

Examiner

Roberto Rabago

Art Unit

1713

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OFFICE OF PETITIONS

PETITION TO WITHDRAW HOLDING OF ABANDONMENT UNDER 37 C.F.R. § 1.181(a)

Applicants respectfully petition under 37 C.F.R. § 1.181(a) that the Holding of Abandonment be withdrawn for the above identified application, Serial Number 09/776,984, based on failure to receive a Notice of Allowance.

FACTS

When the present application was filed on February 5, 2001, the Correspondence Address for the application was Mr. Bernard Graves, Kilpatrick Stockton LLP, 3500 One First Union Tower Center, 301 South College Street, Charlotte, NC 28202-6001. At the time of filing, Mr. Graves was employed by Kilpatrick Stockton and was responsible for prosecuting the application. Subsequent to filing, Mr. Graves left his position at Kilpatrick Stockton and began employment with the assignee of the present application, Eastman Chemical Company.

In the normal course of business, any correspondence from the Patent and Trademark Office ("PTO") to Kilpatrick Stockton's Charlotte office was and is

forwarded to Kilpatrick Stockton's Winston-Salem office for docketing and routing to the attorney responsible for prosecution.

Correspondence related to the present application was timely received and responses were timely filed for the present application up to April 3, 2003, when an Office Action response was filed by the undersigned attorney.

On December 1, 2003, Ms. Pam Armfield of the PTO contacted Mr. Graves at his current place of employment, Eastman Chemical Company. Ms. Armfield informed Mr. Graves that a communication sent by the PTO to him at Kilpatrick Stockton's Charlotte office at 3500 One First Union Tower Center was returned to the PTO. Ms. Armfield did not describe the nature of the communication returned to the PTO.

After communicating with Mr. Graves, the undersigned attorney faxed a Change of Correspondence Address form to Ms. Armfield on December 3, 2003. The Change of Correspondence Address form changed the correspondence address to Charles W. Calkins, Kilpatrick Stockton LLP, 1001 West Fourth St., Winston-Salem, North Carolina 27101. The change in the correspondence address was entered to expedite the receipt in Kilpatrick Stockton's Winston-Salem office of the communication returned to the PTO.

On December 2, 2003, the undersigned attorney also contacted Ms. Armfield to alert her to expect the Change of Correspondence Address form and to forward the returned communication to the updated address. During the conversation, Ms. Armfield did not describe the nature of the communication that was returned to the PTO.

Following the December 2 communication with Ms. Armfield and the filing of a Change of Correspondence Address on December 3, no communication was received at the updated address. Believing that the returned communication was an Office Action Summary and Detailed Action, on December 23, 2003, the undersigned attorney contacted Examiner Roberto Rabago to confirm that the correspondence

address was changed and to determine when the communication from the PTO would be mailed to the updated address. Examiner Rabago indicated that the communication was not an Office Action Summary and Detailed Action as believed by the undersigned attorney. Examiner Rabago indicated that the communication was either a Notice of Allowance or a Notice of Abandonment for failure to pay the issue fee.

Prior to the conversation with Examiner Rabago on December 23, 2003, no one associated with the prosecution of this application was aware that a Notice of Allowance or a Notice of Abandonment was mailed from the PTO to Mr. Graves in Kilpatrick Stockton's Charlotte office at 3500 One First Union Tower Center. Even after speaking with Ms. Armfield regarding the returned communication, no correspondence has been received for the present application since the Office Action mailed December 5, 2002.

On December 26, 2003, Applicants obtained a copy of the file history including the Notice of Allowance and the Notice of Abandonment by contracting with metroPatent, Inc. to copy the file in the PTO.

<u>REMARKS</u>

Applicants respectfully petition under 37 C.F.R. § 1.181(a) that the Holding of Abandonment be withdrawn for the above identified application, Serial Number 09/776,984, for failure to receive a Notice of Allowance.

According to MPEP § 711.03(c)(II), under *Delgar v. Schulyer*, 172 USPQ 513 (D.D.C. 1971), when presented with an adequately supported petition alleging that an action was never received, the Office may grant a petition to withdraw a holding of abandonment and remail an Office action. The support required to establish nonreceipt of the Office action must include:

(1) a statement from the practitioner stating that the action was not received and attesting to the fact that the file jacket and docket records indicate that the communication was not received; and

(2) A copy of the docket record where the nonreceived Office communication would have been entered had it been received and docketed must be attached to and referenced in practitioner's statement.

MPEP § 711.03(c)(II).

Further, the Office has the discretion to either grant or dismiss a properly supported petition under 37 C.F.R. § 1.181 to withdraw the holding of abandonment if the petition is filed more than 2 months after the mail date of the action complained of (i.e., notice of abandonment). A proper petition under 37 C.F.R. § 1.181 filed more than 2 months after the mail date of the action complained of may be dismissed as untimely. 37 C.F.R. § 1.181(f).

A. A Statement From The Practitioner

A statement from the undersigned attorney is attached to this Petition. See Declaration of Samuel B. Rollins. The statement establishes that the Notice of Allowance was not received and that the docket records, file jacket, and mail log indicate that the Notice of Allowance was not received.

B. Copy Of The Docket Record

A copy of the docket records (Exhibit 1) referenced in the Declaration of Samuel B. Rollins is attached to this Petition. The docket records contain no indication that a Notice of Allowance was received from the PTO for the present application.

C. Other Corroborating Evidence

A copy of the file jacket (Exhibit 2) for the present application and Kilpatrick Stockton's mail log for the period starting April 30, 2003 and ending June 21, 2003 (Exhibit 3) are also attached to this Petition. The mail log is redacted to remove confidential client information. The file jacket and the redacted mail log contain no

indication that a Notice of Allowance was received from the PTO for the present application.

D. Timeliness Of Petition

(1) After 2 Months From The Action Complained Of The Office May Grant Petition

The Office has the discretion to either grant or dismiss a properly supported petition under 37 C.F.R. § 1.181 if the petition is filed more than 2 months after the mail date of the action complained of. A properly supported petition under 37 C.F.R. § 1.181 filed more than 2 months after the mail date of the action complained of may be dismissed as untimely. 37 C.F.R. § 1.181(f). The action complained of in this Petition is a Holding of Abandonment mailed September 26, 2003.

Applicants respectfully request that the Office not dismiss this Petition as untimely. The attached Declaration and Exhibits indicate that neither the Notice of Allowance nor the Notice of Abandonment were received. Dismissal of a petition under Rule 1.181(f) is most applicable in the case where a Notice of Allowance is not received but a Notice of Abandonment is received and a petition is filed more than 2 months after the receipt of the Notice of Abandonment. Applicants respectfully submit that, while it is within the discretion of the Office to grant or dismiss this properly supported Petition, it would be an inappropriate use of Rule 1.181(f) to dismiss this petition as untimely. December 23, 2003 was the date of Applicants' and the undersigned attorneys' actual knowledge that a Notice of Allowance and a Notice of Abandonment had been mailed. This Petition was drafted and filed within 21 days of Applicants' and the undersigned attorneys' actual knowledge that a Notice of Allowance had been mailed and that the application was abandoned for failure to pay the issue fee. Therefore, Applicants respectfully request that the Office not dismiss this Petition as untimely.

(2) The Office May Treat An Untimely Petition On The Condition That The Petition Is Accompanied By A Terminal Disclaimer

Rather than dismiss an untimely petition to withdraw the holding of abandonment, the Office may treat an untimely petition on its merits in a utility application filed on or after June 8, 1995 on the condition that the petition is accompanied by a terminal disclaimer dedicating to the public a terminal part of the term of any patent granted thereon that would extend beyond the date 20 years from the filing date of the application, or the earliest application to which the application specifically refers under 35 U.S.C. § 120, 121, or 365(c). MPEP § 711.03(c)(I).

As set forth above, this Petition was drafted and filed within 21 days of Applicants' and the undersigned attorneys' actual knowledge that a Notice of Allowance had been mailed and that the application was abandoned for failure to pay the issue fee. Therefore, this Petition should not be dismissed as untimely. However, in the case that the Office treats this Petition as untimely, to advance prosecution Applicants have included with this Petition a Terminal Disclaimer dedicating to the public a terminal part of the term of any patent granted thereon that would extend beyond the date 20 years from the filing date of the parent application to which this application specifically refers under 35 U.S.C. § 120, 121, or 365(c).

FEES

Applicants believe that no petition fee is required, however, please charge Deposit Account No. 16-1435 if a fee is due.

Applicants have not included a check for the Conditional Terminal Disclaimer included with this Petition. In the case that the Office treats this Petition as untimely but grants the Petition on condition of receipt of a Terminal Disclaimer, please accept the Terminal Disclaimer and charge Deposit Account No. 16-1435 the amount of \$110.00 as directed by 37 C.F.R. § 1.20(d).

CONCLUSION

Accordingly, Applicants respectfully petition that the Holding of Abandonment be withdrawn.

Respectfully submitted,

Samuel B. Rollins

Reg. No. 52,180

Kilpatrick Stockton LLP 1001 West Fourth Street Winston-Salem, NC 27101-2400

Phone: (336) 607-7432

Fax:

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Callery of Carlotters			,		,									Applicant(s)	
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Ltn from Au appor. The Reamination	6 6/88/03	Pet Pland ul ser # + Sty date	3 mo O/A (no cover sheet- JeA)	OFR- CONY # 5898 - FACC 6/2/03	DFN-CON #=5798- FUG 6/2/03	Pet plane West & 5/18 dates	per Common re lato Search	Ner Plane w) sut + 5.118 gate	3 mo OpA	3 mo 01A	Notice of Allowance	Minix Part	Net p) and w) set # 45/18 date	Documents Received	
5/20/03	NIA	NA	6/6/03	6/3/03	6/3/03	allo	5/27/03	NA	5/28/03	5/6/03	5/29/03	5/09/03	-an	Mailing Date/ Resp Due	

"IN" DOC'XET FOR PTO

6/4/03	Notic of Abandonment	7		HEREI/OS	
6/5/03	TPER -E10	-		USWA 2023)	2
6/4/03	missix fact			10/411568	
6/4/03	OR-Cong # 7379- FREG 6/4/03-	1		10/411268	
6/6/03	Final O/A	+		19/154/602	
6/5/03	Missing Parts		'	10/39/1660	
6/5/03	2 # C		1	19391060	
2408/2 MA	-JQ"	<u> </u>	1	US03) 13084	
6/3/03	Missing Parts	1 2		604804/01	
6/3/03	request for non-pur der, Small end the		1	624804/01	
6/3/03	OPP CON # 4523- FFLG 6/3/03	+		10/391293	
6/4/03	T	<u>-</u>	Ĺ	09/983590	
6/3/03	Abord on petition to withdraw the	-	ı	hS8558/40	
5/13/05	It from assoc. re spean till	2	:	mx (03711)	6/11/03
Resp Due	Documents Received	Nos.	Applicant(s)	Ser. No.	Logged
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613/03/04/646439	6/12/03 USO	W 691	6502	6416	10/3	10/3:	10/3	10S0 5	10/3	POR	(202)	09/1	6/11/03 08/6	٩	Date
196439	DC8311602V	12/18/18/18	62480/8020	6410508	10/324418	10/326539	10/324859	US3/08652	0/201-19/2/01	61957414-	19601/603	09/138920	08/6/2969	Ser. No.	
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Notice of F	Ret pleas W/ Der # 45/14 5/29/03	3mo 0/A	PCT-Commun.	Cent. 8 Oco	Updated OFK	Codates OF	request for ron	PC+- Notif	Upgates publ	Corresp. from Ungria re bound of Bhazilion,	PCT-NOTE	Advisory A	Notice of Pub		
Abardonney	W/ser#"		n relato server	Cent. of Occarection for potent	100 #9	chet 334	<u>~</u>	8 Receipt	OFR-CON # 7131- FRC 3/21/03			Action - ex	١	Documents Received	
1 2/0	45/18 da		Server	· potent	coff # 938-1616-3/2763	: 3349- PAC 3/28/03	CON# 3536 - FUG 3/27/03	of Search C	7131-ARG	auand of B	I receipt of browns Copy	Par 6 mo	US 2003 SUPPLY 800 SU	ceived	
					3/2763			_	3/21/03	haziecn/	a hours				
6/16/103	NIA	5/28/03	6/2/23	6/3/03	6/6/03	6/5/03	6/5/03	6/2/03	6/6/03	6/3/03	Solo	6/4/63	5/29/03	Mailing Date/ Resp Due	

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EV 316 335 257 Serial No. 09/77/

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6/13/03	TAER	2	Anderson and the state of the s	OUSERIN	
5/12/03	Por Notit of Recording of Charge	 		US01/18923	
6/10/03	PCT Noort of Musipt of Demand	 -		1500/2569	
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6/17/03	10FR- LOT 8277 - FRC 5-6/16/03	10		S9601A/91	
5/26/63	pcs- Nort of Newspt of Newvie Copy			6/21/03 USU3/10/73	60/16/03
Nia-	1 11 5/34/03	 		US03/16818	<u></u>
	(Ret () land w/ see # + 5/1/8 date 3/30/03	-		US03/1681)	
_ 1	1982 OFC - SON# 2241			10/32/6521	
6/5/03	Tome Nort. 6-65851-6/24/03	-		09/97861	
6/9/03	Abd grande Con Lett 29 39403 6/2/08	2		10/324836	
6/5/03	Notice of Plus. Of Apple - US-2003-5104750	,		10/604702	
6/5/03	PCT - Commun. re late shares			USV3/11/80	
6/5/03	PG-NOTIF of Realft & Sacred Copy	,		US63/11/80	6/13/03
Mailing Date/ Resp Due	Documents Received	Client- C/M Nos.	Applicant(s)	Ser. No.	Logged
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